



B13723  
CFW

Effective on 12/08/04

# FEE TRANSMITTAL SHEET (FY 2005)

## Complete if Known

Application No.	09/782,892
Filing Date	February 13, 2001
First Inventor	Scott E. Moore
Group Art Unit	3723
Examiner Name	Maurina T. Rachuba
Atty. Docket Number	500170.05

☐ Applicant claims small entity status (see 37 C.F.R. 1.27)

## METHOD OF PAYMENT (Check One)

☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No. **50-1266**; Deposit Account Name: **DORSEY & WHITNEY LLP**.

☐ Check Enclosed.

### Extra Claim Fees

Current Claims	Prior	Extra	Fee	Fee Paid
Total	20		\$	\$ 0
Ind.	3		\$	\$ 0
Multiple Dependent Claims			\$	\$
<b>Subtotal (Extra Claims)</b>				<b>\$ 0</b>

### Petition Fee Under 37 CFR 1.17(f), (g), & (h)

Enclosed is a Petition filed under 37 CFR as indicated below:

- ☐ Petition Fee under 37 CFR 1.17(f) **Fee \$400**
- § 1.53(e) to accord a filing date.
  - § 1.57(a) to accord a filing date.
  - § 1.182 for decision on a question not provided for.
  - § 1.183 to suspend the rules.
  - § 1.378(e) for reconsideration of decision on petition refusing delayed payment of maintenance fee in expired patent.
  - § 1.174(b) to accord a filing date to an application under §1.740 for extension of patent term.
- ☐ Petition Fee under 37 CFR 1.17(g) **Fee \$200**
- § 1.12 for access to an assignment record.
  - § 1.14 for access to an application.
  - § 1.47 for filing by other than all inventors or person not the inventor.
  - § 1.59 for expungement of information.
  - § 1.103(a) to suspend action in an application.
  - § 1.136(b) for review of a request for ext. of time when §1.136(a) not avail.
  - § 1.295 for review of refusal to publish a statutory invention registration.
  - § 1.296 to withdraw a req. for pub. after notice of intent to publish issued.
  - § 1.377 for review of decision refusing to accept a maintenance fee filed prior to expiration of a patent.
  - § 1.550(c) for request for ext. of time in *ex parte* reexam. proceedings.
  - § 1.956 for request for ext. of time in *ex parte* reexam. proceedings.
  - § 5.12 for expedited handling of foreign filing license.
  - § 5.15 for changing the scope of a license.
  - § 1.5.25 for retroactive license.
- ☐ Petition Fee under 37 CFR 1.17(h) **Fee \$130**
- § 1.19(g) to request documents in a form other than provided in this part.
  - § 1.84 for accepting color drawings or photographs.
  - § 1.91 for entry of a model or exhibit.
  - § 1.102(d) to make an application special.
  - § 1.138(c) to expressly abandon an application to avoid publication.
  - § 1.313 to withdraw an application from issue.
  - § 1.314 to defer issuance of a patent.

## FEE CALCULATION (Continued)

### 3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	\$
130	65	Surcharge - Late nonprovisional filing fee or oath	\$
180	180	Submission of IDS	\$
40	40	Recording each patent assignment per property (times number of properties)	\$
120	60	Extension for reply within first month	\$
450	225	Extension for reply within second month	\$
1,020	510	Extension for reply within third month	\$
1,590	795	Extension for reply within fourth month	\$
2,160	1,080	Extension for reply within fifth month	\$
790	395	Submission After Final 1.129	\$
500	250	Notice of Appeal	\$
500	250	Filing a brief in support of an appeal	\$
1,000	500	Request for oral hearing	\$
130	65	Terminal Disclaimer Fee	\$
800	400	Design Issue Fee	\$
790	395	Request for Continued Examination (RCE)	\$
130		Request for voluntary publication or republication	\$
500	250	Petition to Revive - unavoidable	\$
1,500	750	Petition to Revive - unintentional	\$
200		Filing for patent term adjustment	\$
400		Request for reinstatement of term reduced	\$
1,120		Extension of term of patent	\$

OTHER FEE  
(specify)

**Subtotal (Additional Fees)** \$0

**Total Amount of Payment:** \$0

Submitted by:

CUSTOMER NUMBER  
**27,076**

DORSEY & WHITNEY LLP

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Name: Marcus Simon

Reg. No.: 50,258

Signature:

Date: March 25, 2005



PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

March 25, 2005  
Date

Carolyn L. Ross  
Carolyn L. Ross

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. :	09/782,892	Confirmation No. :	3365
Applicant :	Scott E. Moore		
Filed :	February 13, 2001	Attorney Docket No.:	500170.05
Art Unit :	3723	Customer No. :	27,076
Examiner :	Maurina T. Rachuba		
Title :	APPARATUS AND METHOD FOR CONDITIONING AND MONITORING MEDIA USED FOR CHEMICAL-MECHANICAL PLANARIZATION		

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application No. 09/387,063, filed August 31, 1999. The references listed on the attached Form PTO-1449 were submitted to and/or cited by the Patent and Trademark Office in this prior application and, therefore, are not required to be provided in this application. If the Examiner wishes, copies will be provided upon request. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he

is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

I hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



Marcus Simon  
Registration No. 50,258

Enclosure:

Fee Transmittal (+ copy)  
Form PTO-1449

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Seattle, WA 98101-4010  
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FORM PTO-1449  
(REV.7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

500170.05

APPLICATION NO.

09/782,892

**INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)

APPLICANT(S)

Scott E. Moore

FILING DATE

February 13, 2001

GROUP ART UNIT

3723

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AD	5,738,574	04/14/98	Tolles et al.	451	288	
AA	6,000,997	12/14/99	Kao et al.	451	7	
AB	6,135,859	10/24/00	Tietz	451	41	
AC	6,149,512	11/21/00	Wilson et al.	451	443	
AE						
AF						
AG						
AH						
AI						
AJ						

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AK							
AL							
AM							
AN							
AO							

**OTHER PRIOR ART** (Including Author, Title, Date, Pertinent Pages, Etc.)

AP	
AQ	
AR	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).